

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE: Patent Application for : Dated: October 17, 2001  
Lloyd J. Payne et al. : Group:  
Serial No.: 09/868,441 : Examiner:  
Filed: June 18, 2001 : Action: **TRANSMITTAL OF**  
For: **PROCESS FOR PREPARING:** **SURCHARGE**  
**POLYAMINES** :  
:

To: The Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application dated July 17, 2001 enclosed is our check no. 17204 in the amount of \$130.00 which is the surcharge fee for completion of this application and a copy of the Notice to File Missing Parts of Application as required by the Notice. Filed concurrently herewith is a Declaration, Power of Attorney and a request for a one month extension of time.

If you have any questions concerning this matter, please do not hesitate to contact the undersigned attorney at the number listed below.

Respectfully submitted,

**TIMOTHY J. MARTIN, P.C.**

By: 

Timothy J. Martin, #28,640  
Michael R. Henson, #39,222  
Mark H. Weygandt, #43,260  
9250 W. 5th Avenue, Suite 200  
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(303) 232-3388

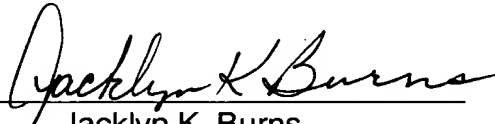
01/11/2002 LLANDGRA 00000063 09868441

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130.00 DP

**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that the foregoing **TRANSMITTAL OF SURCHARGE AND CHECK NO. 17204 IN THE AMOUNT OF \$130.00, INCLUDING COPY OF NOTICE TO FILE MISSING PARTS OF APPLICATION** is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: The Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, on this 17<sup>th</sup> day of October, 2001.

  
\_\_\_\_\_  
Jacklyn K. Burns

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE: Patent Application for	:	Dated: October 17, 2001
Lloyd J. Payne et al.	:	Group:
Serial No.: 09/868,441	:	Examiner:
Filed: June 18, 2001	:	Action:
For: <b>PROCESS FOR PREPARING:</b>	:	<b>TRANSMITTAL OF</b>
<b>POLYAMINES</b>	:	<b>MISSING PARTS -</b>
	:	<b>DECLARATION AND</b>
	:	<b>POWER OF ATTORNEY</b>

To: The Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Sir:

Enclosed for filing is the Declaration and Power of Attorney in reference to the above-identified patent application. This was requested in your Notice to File Missing Parts of Application dated July 17, 2001.

If you have any questions concerning this matter, please do not hesitate to contact the undersigned attorney at the number listed below.

Respectfully submitted,

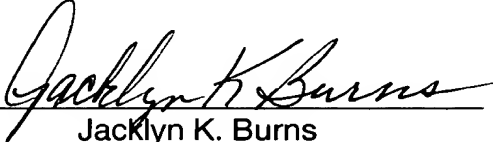
**TIMOTHY J. MARTIN, P.C.**

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that the foregoing **TRANSMITTAL OF MISSING PARTS - DECLARATION** is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: The Assistant Commissioner for Patents, Box PCT Washington, D.C. 20231, on this 17<sup>th</sup> day of October, 2001.

  
\_\_\_\_\_  
Jacklyn K. Burns



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/868441	PAYNE J	1768
INTERNATIONAL APPLICATION NO.		

TIMOTHY J MARTIN  
9250 W 5TH AVENUE SUITE 200  
LAKEWOOD, CO 80226

PCT/GB99/01719

I.A. FILING DATE	PRIORITY DATE
16 JUN 99	16 DEC 98

DATE MAILED:

17 JUL 2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> U.S. Basic National Fee.   | <input type="checkbox"/> Indication of Small Entity Status.                         |
| <input checked="" type="checkbox"/> Copy of the international application.   | <input type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventors(s).  | <input type="checkbox"/> Translation of Article 19 amendments into English.         |
| <input type="checkbox"/> Copy of Article 19 amendments.  | <input type="checkbox"/> Other:   |
| <input checked="" type="checkbox"/> Priority Document.   |   |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. |   |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English.        |   |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- |   |   |
|---|---|
| <input type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Copy of the international application. |
|---|---|

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- |  |
|--|
| <input type="checkbox"/> a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.   |
| <input type="checkbox"/> The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.   |
| <input type="checkbox"/> b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).  |
| <input checked="" type="checkbox"/> c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date. |
| <input type="checkbox"/> The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.   |
| <input checked="" type="checkbox"/> d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).  |

4. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation  
☐ PTO-875 ☐ PCT/DO/EO/920

Karen Williams

Telephone: 703-305-3688

FORM PCT/DO/EO/905 (March 2001)

JUL 20 2001